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PATENT

DOCKET NO.: PU-0031



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Yigong Shi

Serial No.: 09/965,967

Group No.: 1646

Filing Date: September 28, 2001

Examiner: Not Assigned Yet

For: Compositions and Methods for Regulating Apoptosis

DATE OF DEPOSIT: 11/8/01

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Janet E. Reed
REGISTRATION NO.: 36,252

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

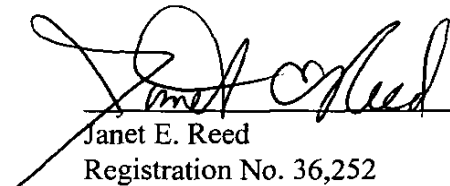
In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated October 25, 2001, a response to which is due December 25, 2001, enclosed herewith for filing is:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. EL568089659US on September 28, 2001.
- ☒ An Associate Power of Attorney is also enclosed.
- ☐ Request for Corrected Filing Receipt.
- ☐ A substitute specification in compliance with 37 C.F.R. 1.52.

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- ☐ _____ Sheets of Substitute drawings in compliance with 37 C.F.R. 1.84.
- ☐ Other: _____
- ☒ Applicant(s) has previously claimed small entity status under 37 CFR §1.27
- ☐ Applicant(s) by its/their undersigned attorney, claims small entity status under 37 CFR §1.27 as:
- ☐ an Independent Inventor
- ☐ a Small Business Concern
- ☐ a Nonprofit Organization
- ☒ A check in the amount of \$65.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$ ____.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: 11/8/01


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,967	09/28/2001	Yigong Shi	PU-0031

CONFIRMATION NO. 5189

FORMALITIES LETTER



OC00000006967612

 Woodcock Washburn LLP
 46th Floor
 One Liberty Place
 Philadelphia, PA 19103

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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